

VOTE SUMMARY REPORT

Date range covered : 07/01/2022 to 09/30/2022

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): ALL INSTITUTION
ACCOUNTS

3i Infrastructure PLC

Meeting Date: 07/07/2022 **Country:** Jersey **Ticker:** 3IN
Record Date: 07/05/2022 **Meeting Type:** Annual
Primary Security ID: G8873L178

Shares Voted: 968,324

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|---------------------------------------------------------------------------------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2 | Approve Remuneration Report | Mgmt | For | For |
| 3 | Approve Final Dividend | Mgmt | For | For |
| 4 | Re-elect Richard Laing as Director | Mgmt | For | Against |
| 5 | Re-elect Doug Bannister as Director | Mgmt | For | For |
| 6 | Re-elect Wendy Dorman as Director | Mgmt | For | For |
| 7 | Re-elect Samantha Hoe-Richardson as Director | Mgmt | For | For |
| 8 | Re-elect Ian Lobley as Director | Mgmt | For | For |
| 9 | Re-elect Paul Masterton as Director | Mgmt | For | For |
| 10 | Ratify Deloitte LLP as Auditors | Mgmt | For | For |
| 11 | Authorise Board to Fix Remuneration of Auditors | Mgmt | For | For |
| 12 | Approve Scrip Dividend Scheme | Mgmt | For | For |
| 13 | Authorise Capitalisation of the Appropriate Amounts of New Ordinary Shares to be Allotted Under the Scrip Dividend Scheme | Mgmt | For | For |
| 14 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |
| 15 | Authorise Market Purchase of Ordinary Shares | Mgmt | For | For |

C&C Group Plc

Meeting Date: 07/07/2022 **Country:** Ireland **Ticker:** CCR
Record Date: 07/03/2022 **Meeting Type:** Annual
Primary Security ID: G1826G107

Shares Voted: 1,013,973

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|--------------------------------------------------------------------------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2a | Re-elect David Forde as Director | Mgmt | For | For |
| 2b | Re-elect Patrick McMahon as Director | Mgmt | For | For |
| 2c | Re-elect Vineet Bhalla as Director | Mgmt | For | For |
| 2d | Re-elect Jill Caseberry as Director | Mgmt | For | For |
| 2e | Re-elect Vincent Crowley as Director | Mgmt | For | For |
| 2f | Re-elect Emer Finnan as Director | Mgmt | For | For |
| 2g | Re-elect Helen Pitcher as Director | Mgmt | For | For |
| 2h | Re-elect Jim Thompson as Director | Mgmt | For | For |
| 2i | Elect Ralph Findlay as Director | Mgmt | For | For |
| 3 | Authorise Board to Fix Remuneration of Auditors | Mgmt | For | For |
| 4 | Approve Remuneration Report | Mgmt | For | For |
| 5 | Authorise Issue of Equity | Mgmt | For | For |
| 6 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |
| 7 | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | Mgmt | For | For |
| 8 | Authorise Market Purchase of Ordinary Shares | Mgmt | For | For |
| 9 | Determine Price Range for Reissuance of Treasury Shares | Mgmt | For | For |
| 10 | Amend Articles of Association | Mgmt | For | For |

Yara International ASA

Meeting Date: 07/07/2022

Country: Norway

Ticker: YAR

Record Date:

Meeting Type: Extraordinary Shareholders

Primary Security ID: R9900C106

Shares Voted: 0

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|-------------------------------------------------------------------------|-----------|----------|------------------|
| 1 | Approve Notice of Meeting and Agenda | Mgmt | For | Do Not Vote |
| 2 | Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting | Mgmt | For | Do Not Vote |

Yara International ASA

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|---------------------------------------------------------------------------------------------|-----------|----------|------------------|
| 3.1 | Approve Spin-Off Agreement | Mgmt | For | Do Not Vote |
| 3.2 | Approve Merger Agreement with Yara Clean Ammonia NewCo AS and Yara Clean Ammonia Holding AS | Mgmt | For | Do Not Vote |

Randall & Quilter Investment Holdings Ltd.

Meeting Date: 07/11/2022 **Country:** Bermuda **Ticker:** RQIH
Record Date: 07/07/2022 **Meeting Type:** Special
Primary Security ID: G7371X106

Shares Voted: 715,000

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|-----------------------------------------------------------------------------------------|-----------|----------|------------------|
| 1 | Authorise Issue of Equity in Connection with the Fundraising | Mgmt | For | For |
| 2 | Authorise Issue of Equity without Pre-emptive Rights in Connection with the Fundraising | Mgmt | For | For |

Randall & Quilter Investment Holdings Ltd.

Meeting Date: 07/14/2022 **Country:** Bermuda **Ticker:** RQIH
Record Date: 07/12/2022 **Meeting Type:** Annual
Primary Security ID: G7371X106

Shares Voted: 715,000

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|---------------------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2 | Re-elect William Spiegel as Director | Mgmt | For | For |
| 3 | Re-elect Alan Quilter as Director | Mgmt | For | For |
| 4 | Re-elect Tom Solomon as Director | Mgmt | For | For |
| 5 | Re-elect Philip Barnes as Director | Mgmt | For | For |
| 6 | Re-elect Alastair Campbell as Director | Mgmt | For | Against |
| 7 | Re-elect Joanne Fox as Director | Mgmt | For | For |
| 8 | Re-elect Eamonn Flanagan as Director | Mgmt | For | For |
| 9 | Ratify PKF Littlejohn LLP as Auditors | Mgmt | For | For |
| 10 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt | For | For |
| 11 | Authorise Issue of Equity | Mgmt | For | For |

Randall & Quilter Investment Holdings Ltd.

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|----------------------------------------------------------------------------------------|-----------|----------|------------------|
| 12 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |
| 13 | Authorise Issue of Equity without Pre-emptive Rights in Connection with a Rights Issue | Mgmt | For | For |
| 14 | Approve Change of Company Name to R&Q Insurance Holdings Ltd | Mgmt | For | For |

RS Group Plc

Meeting Date: 07/14/2022 **Country:** United Kingdom **Ticker:** RS1
Record Date: 07/12/2022 **Meeting Type:** Annual
Primary Security ID: G29848101

Shares Voted: 90,000

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|---------------------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2 | Approve Remuneration Policy | Mgmt | For | Against |
| 3 | Approve Remuneration Report | Mgmt | For | For |
| 4 | Approve Final Dividend | Mgmt | For | For |
| 5 | Elect Alex Baldock as Director | Mgmt | For | For |
| 6 | Elect Navneet Kapoor as Director | Mgmt | For | For |
| 7 | Re-elect Louisa Burdett as Director | Mgmt | For | For |
| 8 | Re-elect David Egan as Director | Mgmt | For | For |
| 9 | Re-elect Rona Fairhead as Director | Mgmt | For | For |
| 10 | Re-elect Bessie Lee as Director | Mgmt | For | For |
| 11 | Re-elect Simon Pryce as Director | Mgmt | For | For |
| 12 | Re-elect Lindsley Ruth as Director | Mgmt | For | For |
| 13 | Re-elect David Sleath as Director | Mgmt | For | For |
| 14 | Re-elect Joan Wainwright as Director | Mgmt | For | For |
| 15 | Reappoint PricewaterhouseCoopers LLP as Auditors | Mgmt | For | For |
| 16 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt | For | For |
| 17 | Authorise UK Political Donations and Expenditure | Mgmt | For | For |
| 18 | Authorise Issue of Equity | Mgmt | For | For |
| 19 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |

RS Group Plc

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|--------------------------------------------------------------------------------------------------------------------|-----------|----------|------------------|
| 20 | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | Mgmt | For | For |
| 21 | Authorise Market Purchase of Ordinary Shares | Mgmt | For | For |
| 22 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt | For | For |
| 23 | Approve Long-Term Incentive Plan | Mgmt | For | For |

HICL Infrastructure plc

Meeting Date: 07/20/2022 **Country:** United Kingdom **Ticker:** HICL
Record Date: 07/18/2022 **Meeting Type:** Annual
Primary Security ID: G44393109

Shares Voted: 1,379,353

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|------------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2 | Re-elect Rita Akushie as Director | Mgmt | For | For |
| 3 | Re-elect Michael Bane as Director | Mgmt | For | For |
| 4 | Re-elect Susanna Davies as Director | Mgmt | For | For |
| 5 | Re-elect Simon Holden as Director | Mgmt | For | For |
| 6 | Re-elect Frank Nelson as Director | Mgmt | For | For |
| 7 | Re-elect Kenneth Reid as Director | Mgmt | For | For |
| 8 | Approve Remuneration Report | Mgmt | For | For |
| 9 | Approve Remuneration Policy | Mgmt | For | For |
| 10 | Reappoint KPMG LLP as Auditors | Mgmt | For | For |
| 11 | Authorise Board to Fix Remuneration of Auditors | Mgmt | For | For |
| 12 | Approve Company's Dividend Policy | Mgmt | For | For |
| 13 | Authorise Market Purchase of Ordinary Shares | Mgmt | For | For |
| 14 | Authorise Issue of Equity | Mgmt | For | For |
| 15 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |

Octopus Renewables Infrastructure Trust Plc

Meeting Date: 07/28/2022 **Country:** United Kingdom **Ticker:** ORIT
Record Date: 07/26/2022 **Meeting Type:** Special
Primary Security ID: G673B0112

Octopus Renewables Infrastructure Trust Plc

Shares Voted: 552,928

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|---------------------------------------------------------------|-----------|----------|------------------|
| 1 | Adopt the Proposed Investment Objective and Investment Policy | Mgmt | For | For |

Molten Ventures Plc

Meeting Date: 08/03/2022

Country: United Kingdom

Ticker: GROW

Record Date: 08/01/2022

Meeting Type: Annual

Primary Security ID: G28295106

Shares Voted: 533,637

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|--------------------------------------------------------------------------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2 | Approve Remuneration Report | Mgmt | For | Against |
| 3 | Approve Remuneration Policy | Mgmt | For | Against |
| 4 | Elect Sarah Gentleman as Director | Mgmt | For | For |
| 5 | Elect Gervaise Slowey as Director | Mgmt | For | For |
| 6 | Re-elect Karen Slatford as Director | Mgmt | For | Against |
| 7 | Re-elect Martin Davis as Director | Mgmt | For | For |
| 8 | Re-elect Stuart Chapman as Director | Mgmt | For | For |
| 9 | Re-elect Ben Wilkinson as Director | Mgmt | For | For |
| 10 | Re-elect Grahame Cook as Director | Mgmt | For | For |
| 11 | Re-elect Richard Pelly as Director | Mgmt | For | For |
| 12 | Reappoint PricewaterhouseCoopers LLP as Auditors | Mgmt | For | For |
| 13 | Authorise The Audit, Risk and Valuations Committee to Fix Remuneration of Auditors | Mgmt | For | For |
| 14 | Authorise Issue of Equity | Mgmt | For | For |
| 15 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |
| 16 | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | Mgmt | For | For |
| 17 | Authorise Market Purchase of Ordinary Shares | Mgmt | For | For |
| 18 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt | For | For |

Schroders Plc

Meeting Date: 08/15/2022

Country: United Kingdom

Ticker: SDR

Record Date: 08/11/2022

Meeting Type: Special

Primary Security ID: G78602136

Shares Voted: 98,012

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|--------------------------------------------------------------------|-----------|----------|------------------|
| 1 | Approve Compensatory Bonus Issue | Mgmt | For | For |
| 2 | Authorise Issue of Equity Pursuant to the Compensatory Bonus Issue | Mgmt | For | For |
| 3 | Approve Enfranchisement of Non-Voting Ordinary Shares | Mgmt | For | For |
| 4 | Approve Share Sub-Division | Mgmt | For | For |
| 5 | Approve Waiver of Rule 9 of the Takeover Code | Mgmt | For | For |
| 6 | Amend Stage One Articles of Association | Mgmt | For | For |
| 7 | Adopt New Articles of Association | Mgmt | For | For |
| 8 | Authorise Market Purchase of New Ordinary Shares | Mgmt | For | For |
| 9 | Authorise Market Purchase of Existing Ordinary Shares | Mgmt | For | For |

DS Smith Plc

Meeting Date: 09/06/2022

Country: United Kingdom

Ticker: SMDS

Record Date: 09/04/2022

Meeting Type: Annual

Primary Security ID: G2848Q123

Shares Voted: 291,431

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|---------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2 | Approve Final Dividend | Mgmt | For | For |
| 3 | Approve Remuneration Report | Mgmt | For | For |
| 4 | Re-elect Geoff Drabble as Director | Mgmt | For | For |
| 5 | Re-elect Miles Roberts as Director | Mgmt | For | For |
| 6 | Re-elect Adrian Marsh as Director | Mgmt | For | For |
| 7 | Re-elect Celia Baxter as Director | Mgmt | For | For |
| 8 | Elect Alan Johnson as Director | Mgmt | For | For |
| 9 | Re-elect Alina Kessel as Director | Mgmt | For | For |
| 10 | Re-elect David Robbie as Director | Mgmt | For | For |
| 11 | Re-elect Louise Smalley as Director | Mgmt | For | For |

DS Smith Plc

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|--------------------------------------------------------------------------------------------------------------------|-----------|----------|------------------|
| 12 | Appoint Ernst & Young LLP as Auditors | Mgmt | For | For |
| 13 | Authorise The Audit Committee to Fix Remuneration of Auditors | Mgmt | For | For |
| 14 | Authorise Issue of Equity | Mgmt | For | For |
| 15 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |
| 16 | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment | Mgmt | For | For |
| 17 | Authorise Market Purchase of Ordinary Shares | Mgmt | For | For |
| 18 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt | For | For |

R&Q Insurance Holdings Ltd.

Meeting Date: 09/13/2022

Country: Bermuda

Ticker: RQIH

Record Date: 09/09/2022

Meeting Type: Special

Primary Security ID: G7371X106

Shares Voted: 715,000

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|-------------------------------------------------------|-----------|----------|------------------|
| | Shareholder Proposals | Mgmt | | |
| a | Remove William Spiegel as Director | SH | Against | Against |
| b | Elect Ken Randall, a Shareholder Nominee to the Board | SH | Against | Against |

Biffa Plc

Meeting Date: 09/23/2022

Country: United Kingdom

Ticker: BIFF

Record Date: 09/21/2022

Meeting Type: Annual

Primary Security ID: G1R62B102

Shares Voted: 323,694

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|-----------------|---------------------------------------------------|-----------|----------|------------------|
| 1 | Accept Financial Statements and Statutory Reports | Mgmt | For | For |
| 2 | Approve Final Dividend | Mgmt | For | For |
| 3 | Approve Remuneration Report | Mgmt | For | For |
| 4 | Elect Linda Morant as Director | Mgmt | For | For |
| 5 | Re-elect Carol Chesney as Director | Mgmt | For | For |

| Proposal Number | Proposal Text | Proponent | Mgmt Rec | Vote Instruction |
|------------------------|------------------------------------------------------------------------------------------------------------------------|------------------|-----------------|-------------------------|
| 6 | Re-elect Kenneth Lever as Director | Mgmt | For | For |
| 7 | Re-elect David Martin as Director | Mgmt | For | For |
| 8 | Re-elect Claire Miles as Director | Mgmt | For | For |
| 9 | Re-elect Richard Pike as Director | Mgmt | For | For |
| 10 | Re-elect Michael Topham as Director | Mgmt | For | For |
| 11 | Reappoint Deloitte LLP as Auditors | Mgmt | For | For |
| 12 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt | For | For |
| 13 | Authorise Issue of Equity | Mgmt | For | For |
| 14 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt | For | For |
| 15 | Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Specified Capital Investment | Mgmt | For | For |
| 16 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt | For | For |